

Honeywell Docket No. H26787 US -4521  
Practitioner's Docket No. 7037642001-3001370

CHAPTER II

#5

IN THE UNITED STATES ELECTED OFFICE (EO/US)

PCT/EP03/06534

16 December 2004  
(16.12.04)

20 June 2003 (20.06.03)

International Application No. International Filing Date Priority Date Claimed

SILYL ALKYL ESTERS OF ANTHRACENE- AND PHENANTHRENE/CARBOXYLIC ACIDS

Title of Invention

Honeywell International Inc.; LEHMANN, Lutz Uwe; LONSKY, Ralph,

Applicant(s)

10/518060

Mail Stop PCT  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450  
ATTENTION: EO/US

COMPLETION OF FILING REQUIREMENTS  
FOR INTERNATIONAL APPLICATION ENTERING U.S. NATIONAL STAGE  
IN U.S. ELECTED OFFICE (EO/US) UNDER 35 U.S.C. § 371

This replies to the Notice of Missing Requirements under 35 U.S.C. § 371 and 37 C.F.R. § 1.495  
(FORM PCT/DO/EO/905).

EXPRESS MAILING UNDER 37 C.F.R. § 1.10\*

(Express Mail label number is mandatory.)

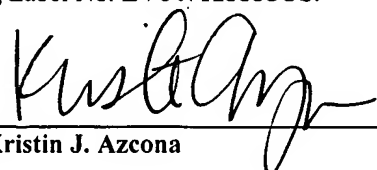
(Express Mail certification is optional)

I hereby certify that this paper, along with any document referred to, is being deposited with the United States Postal Service on this date  
**September 6, 2005** in an envelope addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA  
22313-1450 as "Express Mail Post Office to Addressee" Mailing Label No. **EV647123335US**.

Date: **September 6, 2005**

**EV647123335US**

Kristin J. Azcona



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**\*WARNING:** Each paper or fee filed by "Express Mail" must have the number of the "Express Mail" mailing label placed thereon prior to mailing. 37 C.F.R. 1.10(b).

"Since the filing of correspondence under § 1.10 without the Express Mail mailing label thereon is an oversight that can be avoided by the exercise of reasonable care, requests for waiver of this requirement will not be granted on petition." Notice of Oct. 24, 1996, 60 Fed. Reg. 56,439, at 56,442.

## DECLARATION OR OATH

- I. No original declaration or oath was filed. Enclosed is the original declaration or oath for this application.

## EXTENSION OF TIME

- II. The proceedings herein are for a patent application. Accordingly, the provisions of 37 C.F.R. § 1.136(a) apply.

Applicant believes that no extension of time is needed for the filing of this response. If an additional extension of time is required, please consider this a petition therefor.

## TOTAL FEE DUE

- IV. The total fee due is:

Completion fee(s)	\$130.00
Additional Claim fee(s)	\$800.00
Extension fee (if any)	\$ 0.00

**TOTAL FEE DUE \$ 930.00**

## PAYMENT OF FEES

- V.

Authorization is hereby made to charge the amount of \$930.00 to Deposit Account No. 500977.

Charge any additional fees required by this paper or credit any overpayment in the manner authorized above.

A duplicate of this request is attached.

## AUTHORIZATION TO CHARGE ADDITIONAL FEES

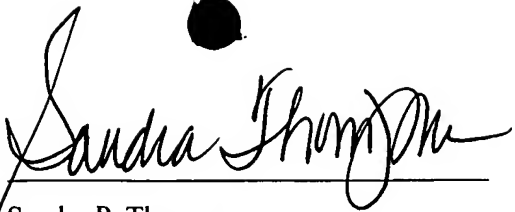
- VI. Please charge, in the manner authorized above, the following additional fees that may be required by this paper and during the entire pendency of this application:

- \* 37 C.F.R. § 1.492(a)(1), 1.492(a)(4) (filing fees)
- \* 37 C.F.R. § 1.492(b), (c), and (d) (presentation of extra claims)
- \* 37 C.F.R. § 1.17 (application processing fees)
- \* 37 C.F.R. § 1.17(a)(1)-(5) (extension fees pursuant to section 1.136(a))

05/14/2003 GFREY1 00000133 500977 10518060

0A FC1617	\$30.00 DA
02 FC1613	800.00 DA

Date: September 6, 2005



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## UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE  
 United States Patent and Trademark Office  
 Address: COMMISSIONER FOR PATENTS  
 P.O. Box 1450  
 Alexandria, Virginia 22313-1450  
 www.uspto.gov

U.S. APPLICATION NUMBER NO.	FIRST NAMED APPLICANT	ATTY. DOCKET NO.
10/518,060	Lutz Lehmann	H26787 US-4521

23639 SPT  
 BINGHAM, MCCUTCHEN LLP  
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 18 FLOOR  
 SAN FRANCISCO, CA 94111-4067

AUG 12 2005

INTERNATIONAL APPLICATION NO.

PCT/EP03/06534

I.A. FILING DATE

PRIORITY DATE

06/20/2003

06/21/2002

CONFIRMATION NO. 3487

371 FORMALITIES LETTER



\*OC000000016668079\*

Date Mailed: 08/04/2005

### NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as a Designated / Elected Office (37 CFR 1.495).

- Copy of the International Application filed on 12/16/2004
- Copy of the International Search Report filed on 12/16/2004
- Copy of IPE Report filed on 12/16/2004
- Preliminary Amendments filed on 12/16/2004
- Information Disclosure Statements filed on 03/14/2005
- U.S. Basic National Fees filed on 12/16/2004
- Priority Documents filed on 12/16/2004

The applicant needs to satisfy supplemental fees problems indicated below.

The following items **MUST** be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- Additional claim fees of **\$800** as a non-small entity, including any required multiple dependent claim fee, are required. Applicant must submit the additional claim fees or cancel the additional claims for which fees are due.
- Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date.
- **\$130** Surcharge for providing the oath or declaration later than 30 months from the priority date (37 CFR 1.492(e)) is required.

## SUMMARY OF FEES DUE:

Total additional fees required for this application is **\$930** for a Large Entity:

Docket: 703764-200 (TM)  
 Action: Missing Requirement  
 Date Due: 10/4/05  
 3/4/06-F

- **\$130** Late oath or declaration Surcharge.
- Total additional claim fee(s) for this application is **\$ 800**
  - **\$800** for **46** total claims over 20.

**ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTHS FROM THE DATE OF THIS NOTICE OR BY 32 MONTHS FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.**

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

*A copy of this notice **MUST** be returned with the response.*

TAMALA D HOLLAND

Telephone: (703) 308-9140 EXT 209

**PART 1 - ATTORNEY/APPLICANT COPY**

U.S. APPLICATION NUMBER NO.	INTERNATIONAL APPLICATION NO.	ATTY. DOCKET NO.
10/518,060	PCT/EP03/06534	H26787 US-4521